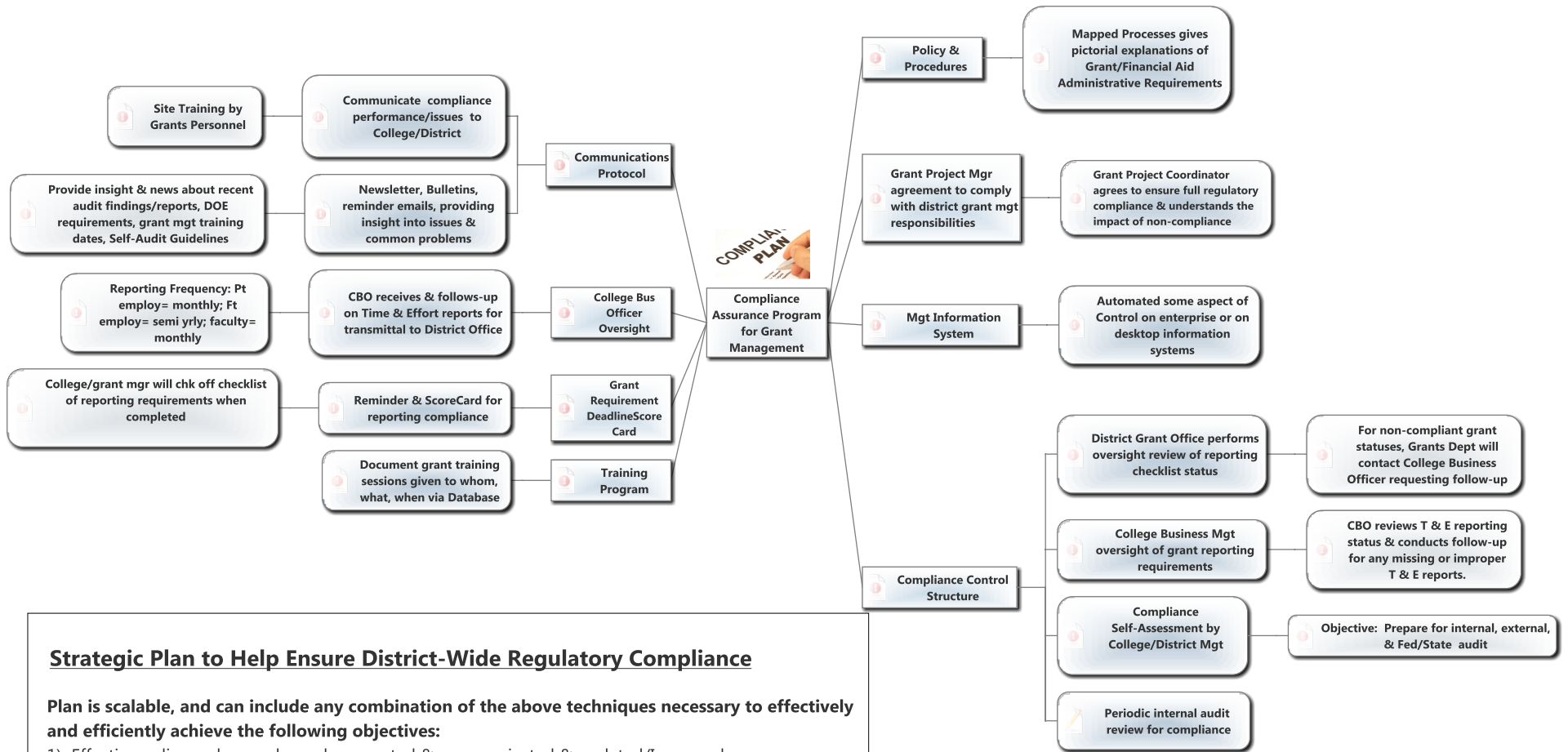




PERALTA COMMUNITY COLLEGE DISTRICT

Compliance Assurance Program for Grants Management



Strategic Plan to Help Ensure District-Wide Regulatory Compliance

Plan is scalable, and can include any combination of the above techniques necessary to effectively and efficiently achieve the following objectives:

- 1) Effective policy and procedures documented & communicated & updated/Improved
- 2) Written agreement with future grant coordinator/manager covering compliance requirements
- 3) Expanded key controls by involving more organizational units in the oversight process
- 4) More on-site training Program and guidance given provided and tracked
- 5) Involvement of College Business Officers to overseeing financial aid reporting requirements
- 6) Multi-communications channels created to disseminate compliance regularly
- 7) Use of informational data base to track deadlines, compliance status, training given.

Goal of Compliance Assurance Program

To help ensure full compliance with grant regulatory requirements, and District requirements for financial and operational feasibility. This Compliance Assurance Program (CAP) is scalable in terms of frequency and scope of each CAP technique required to obtain adequate compliance status. The CAP program utilizes compliance best practices and COSO internal control best practices concepts, as indicated below, as well other techniques, such as engaging additional stakeholders into the compliance and compliance monitoring process.



COSO Internal Control Framework



Compliance Assurance Program